

03/04/02



1132 U.S. PTO

LEE & STERBA, P.C.  
1101 Wilson Boulevard, Suite 2000  
Arlington, Virginia 22209



27849

PATENT TRADEMARK OFFICE

TO: Assistant Commissioner for Patents  
Box Patent Applications  
Washington D.C. 20231

Attorney Docket No.253/011  
(must include alphanumeric codes if no inventors named)

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(new nonprovisional applications under 37 CFR 1.53(b))**

Transmitted herewith for filing is the patent application of:

**INVENTOR(S): Sung-Un KWEAN, Jae-Seung HWANG**

**TITLE: METHOD OF MANUFACTURING A CAPACITOR OF A SEMICONDUCTOR DEVICE**

In connection with this application, the following are enclosed:

**APPLICATION ELEMENTS:**

xx Specification - 22 TOTAL PAGES

(preferred arrangement:)

- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regard Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

xx Drawings - Total Sheets 9

xx Declaration and Power of Attorney - Total Sheets 2

xx Newly executed (original or copy)

       Copy from a prior application (37 CFR 1.63(d))

(relates to continuation/divisional boxes completed) - NOTE: Box below

       DELETION OF INVENTOR(S) - Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

       Incorporation By Reference (useable if copy of prior application  
Declaration being submitted)

The entire disclosure of the prior application, from which a COPY of the  
oath or declaration is supplied as noted above, is considered as being  
part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

       Microfiche Computer Program (Appendix)

       Nucleotide and/or Amino Acid Sequence Submission (if applicable,  
all necessary)

       Computer Readable Copy

       Paper Copy (identical to computer copy)

       Statement verifying identify of above copies

10086375-030402

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**ACCOMPANYING APPLICATION PARTS**

- ☒ Assignment Papers (cover sheet & document(s))  
☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ English Translation Document (if applicable)  
☐ Information Disclosure Statement(IDS) with PTO-1449. ☐ Copies of IDS Citations Preliminary Amendment  
☒ Return Receipt Postcard (MPEP 503)  
☐ Small Entity Statement(s)  
☐ Statement file in prior application, status still proper and desired.  
☒ Certified Copy of Priority Document(s) with Claim of Priority  
(if foreign priority is claimed).  
☒ OTHER: Check for \$798.00

If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
of prior application Serial No. ☐.

☐ Amend the specification by inserting before the first line the following sentence: --This application is a ☐ continuation, divisional or ☐ continuation-in-part of application Serial No. ☐, filed ☐--

**CORRESPONDENCE ADDRESS:**

LEE & STERBA, P.C. - address noted above.  
Telephone: (703) 525-0978  
Fax Number: (703)-525-4265


**FEE CALCULATIONS:** (Small entity fees indicated in parentheses.)

(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$740.00 (\$370)
Total Claims	21- 20 =	1	x \$18 (x \$9)	18.00
Independent Claims	1 - 3 =	0	x \$84 (x \$42)	0.00
Multiple Dependent Claims			\$280 (\$140)	0.00
Assignment Recording Fee per property			\$40	40.00
TOTAL FEE:				798.00

**METHOD OF PAYMENT:**

A check in the amount of the above TOTAL FEE is attached. If payment is enclosed, this amount is believed to be correct; however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 5-01645.

Respectfully submitted,

  
Eugene M. Lee  
Reg. No. 32,039

Date: March 4, 2002

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# CHANGE OF CORRESPONDENCE ADDRESS Application

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Application Number	New Application
Filing Date	March 4, 2002
First Named Inventor	KWEAN, et al.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	253/011

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The Law Offices of Eugene M. Lee, P.L.L.C.

Address

1101 Wilson Boulevard, Suite 2000

Address

City

Arlington

State

VA

ZIP

22209

Country

US

Telephone

703.525.0978

Fax

703.525.4265

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I am the :



Applicant/Inventor.



Assignee of record of the entire interest.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).



Attorney or Agent of record.



Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Typed or Printed  
Name

Eugene M. Lee, Reg. No. 32039

Signature

Date

March 4, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.



\*Total of \_\_\_\_\_ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.